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RESEARCH ARTICLE

Correction of Known Position Errors in a Spherical Near to Far-Field Transformation for Long Antennas

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Abstract:		

Background:

This paper provides the experimental validation of an efficient iterative procedure to correct known position errors in a spherical near to far-field (NTFF) transformation for elongated antennas which uses a minimum number of NF measurements.

Method:

This transformation exploits a non-redundant sampling representation of the voltage detected by the probe obtained by shaping a long antenna with a prolate ellipsoid. The uniform samples, those at the points set by the representation, are accurately reconstructed from the acquired not regularly distributed (non-uniform) ones by using an iterative scheme, which requires a one to one relationship between each uniform sampling point and the corresponding non-uniform one. Then a 2-D optimal sampling formula is adopted to evaluate the input data needed to perform the traditional spherical NTFF transformation from the retrieved non-redundant uniform samples.

Conclusion:

Finally, laboratory proofs have been reported to demonstrate the validity of the presented technique from a practical viewpoint.

Keywords: Near to far-field transformations, Non-redundant representations of electromagnetic fields, Probe positioning errors correction, Spherical scan, 2D Optimal sampling, Elongated antennas.

1. INTRODUCTION

Near to far-field (NTFF) transformation techniques are widely employed tools for the prediction of the antenna FF pattern from NF measurements [1 - 4]. Among these techniques, the one wherein the NF data are acquired over a spherical surface [5] is the most appealing because of its unique feature to allow the reconstruction of the whole antenna radiation pattern avoiding the measurement area truncation. Therefore, it has attracted a considerable attention (see, *f.i.*, [5 - 16]).

The traditional spherical NTFF transformation has been properly optimized in [13] by taking into account that the electromagnetic (EM) fields radiated by finite sizes sources are spatially quasi-bandlimited functions [17]. This has made possible to rigorously determine the highest spherical wave to be considered, instead to fix it according to the rule of the minimum sphere, *i.e.*, the smallest one containing the Antenna Under Test (AUT). In addition, it has been proved

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that the number of NF measurements to be collected on the parallels reduces as their distance from the equator increases. The non-redundant sampling representations of the EM fields [18] have been usefully exploited in the same paper for developing effective non-redundant NTFF transformations, requiring a NF data number drastically lower than the one necessary for the standard transformation [5], when considering quasi-planar or elongated antennas, which are supposed as contained in an oblate or a prolate spheroid. These NTFF transformation techniques employ optimal sampling interpolation (OSI) formulas to precisely reconstruct the NF data necessary for the standard transformation from the acquired non-redundant ones. In such a way, a very considerable saving of the measurement time is gained which is an important outcome, since the time required to acquire the NF data is greater and greater than the one needed to execute the NTFF transformation. The non-redundant sampling representations [18] can be exploited also for the voltage measured by a non-directive probe, because its spatial bandwidth practically coincides with that of the AUT radiated field. Accordingly, the hypothesis of an ideal probe made by Bucci et al. [13] has been relaxed by D'Agostino et al. [14], thus developing efficient probe compensated NTFF transformations with spherical scan tailored for quasiplanar or long antennas, which require a non-redundant, i.e. minimum, number of NF data. In particular, quasi-planar AUTs have been considered as contained in an oblate ellipsoid [13] or in a surface consisting of two circular bowls having the same aperture and eventually different lateral surfaces (double bowl) [14], whereas a prolate ellipsoid [13] or a cylindrical surface with two hemispherical caps (rounded cylinder) [14] has been employed to model long antennas. The experimental validations of the aforementioned non-redundant spherical NTFF transformations [13] and [14] have been then provided by D'Agostino et al. [15] and [16], respectively.

It must be noticed that, owing to the not accurate control of the positioners and/or to their limited resolution, it could not be possible to get the NF measurements at the points prescribed by the non-redundant sampling representation, even if the positions of the actual acquisition points can be precisely revealed through laser interferometric techniques. Hence, the development of an effective and robust procedure, allowing a possibly accurate retrieval of the NF data to be used in the NTFF transformation from the positioning errors affected (non-uniform) ones, appears to be of crucial importance. For this purpose, a procedure based on the conjugate gradient iterative technique and adopting the fast Fourier transform for non-equispaced data [19] has been exploited to correct the position errors in the traditional NTFF transformation techniques employing the planar [20] and spherical [21] scans. In any case, such a procedure is inappropriate for the abovementioned non-redundant spherical NTFF transformations, where the information about the AUT geometry is conveniently exploited and proper OSI expansions are applied to efficiently evaluate the NF data needed by the traditional NTFF transformation from the non-redundant acquired ones. As it has been explicitly pointed out by Bucci et al. [22] and by D'Agostino et al. [23], where the interested reader can find a more complete discussion, the available formulas, which allow one to directly reconstruct the needed data from the non-uniform samples, are not stable and easy to use, and applicable only to specific samples grids. As stressed by Bucci et al. [22], a viable and opportune policy is to recover the regularly spaced (uniform) samples from the non-uniform ones and then evaluate the required values through a precise and robust OSI expansion. Two distinct procedures have been proposed to this purpose. The first adopts an iterative technique, which converges only when it is possible to set up a bijective relation between every uniform sampling point and the nearest non-uniform one, and has been employed for recovering the uniform samples in a plane-rectangular grid [22]. The second exploits the singular value decomposition (SVD) method, does not suffer from the aforementioned shortcoming, allows one to benefit from the data redundancy for increasing the algorithm robustness with regard to errors corrupting the data, and has been employed to develop non-redundant NTFF transformations from positioning errors affected samples with plane-polar [24] and cylindrical [25] scans. Anyhow, it can be profitably adopted if the starting 2-D problem of the retrieval of the uniformly spaced samples can be subdivided in two independent 1-D problems; otherwise, the sizes of the related matrices remarkably increase, thus requiring a bulky computing effort. Both the procedures have been numerically compared and experimentally demonstrated in [26] with reference to the cylindrical NTFF transformation from irregularly spaced samples, whereas their experimental assessment in the plane-polar scanning case has been recently provided in [27]. Coming now to the spherical scanning case, these procedures have been applied and numerically compared with reference to the correction of the errors of probe positioning in the NTFF transformations for long [28] and quasi-planar [29] antennas using a prolate and oblate ellipsoidal AUT modelling, respectively. Then, both the iterative and SVD based approaches have been numerically compared and experimentally assessed in the cases of the spherical NTFF transformations for quasi-planar [23] and elongated [30] antennas based on the double bowl and rounded cylinder source models, respectively. At last, they have been experimentally assessed in the case of the NTFF transformation adopting oblate spheroids to fit quasi-planar AUTs [31], whereas only the experimental assessment of the SVD based approach has been provided [32] for the NTFF transformation relying on the prolate ellipsoidal modelling.

The goal of the paper is to give a laboratory assessment of the iterative technique proposed by F. D'Agostino *et al.* [28] for correcting the position errors in the non-redundant spherical NTFF transformation for elongated AUTs [13], using the prolate ellipsoidal source model (see Fig. 1). The measurements have been accomplished by using the roll-over-azimuth spherical NF scanning system available at the Antenna Characterization Lab of the UNIversity of SAlerno (UNISA).



Fig. (1). Spherical scan for a long antenna.

2. PROBE VOLTAGE NON-REDUNDANT REPRESENTATION ON A SPHERICAL SURFACE FROM NON-UNIFORM SAMPLES

2.1. Uniform Samples Representation

Let us consider an elongated AUT, a non-directive probe which scans a sphere of radius *d* located in its NF region, and a spherical reference system (r, ϑ, φ) to denote any observation point *P*. As discussed in the Introduction, the non-redundant sampling representations [18] can be profitably exploited to represent the voltage measured by such a type of probe.

According to these representations, the AUT must be considered as surrounded by a rotational surface Σ bounding a proper convex domain, which fits well the antenna geometry, an optimal parameter η has to be adopted for describing each of the curves Γ (meridians and parallels) which represent the spherical surface, and a suitable phase factor $e^{-j\psi(\eta)}$ must be singled out from the voltage V_p or V_r acquired by the probe in its two orientations (probe/rotated probe). The so obtained "reduced voltage"

$$\tilde{V}(\eta) = e^{j\psi(\eta)}V(\eta) \tag{1}$$

is spatially quasi-bandlimited and not strictly bandlimited, thus an error arises when approximating it by means of a bandlimited function. Such a bandlimitation error can be made reasonably small as the bandwidth is greater than a critical value W_{η} [18] and successfully reduced by considering an increased bandwidth $\chi'W_{\eta}$, where χ' is an excess bandwidth factor a bit greater than one when the antennas have large sizes in terms of wavelengths [18]. For the assumed hypothesis on AUT, a very efficient source model is obtained by assuming Σ coincident with the smallest prolate ellipsoid, with semi-axes *a* and *b*, able to include it (see Fig. 1).

If Γ is a meridian, the bandwidth W_{η} , the optimal parameter η to be adopted for describing it, and the associated optimal phase function ψ are [13, 18]:

144 The Open Electrical & Electronic Engineering Journal, 2017, Volume 11

Cicchetti et al.

$$W_{\eta} = \frac{4aE(\pi/2|\sigma^2)}{\lambda}$$
(2)

$$\eta = \left[1 + \frac{E\left(\sin^{-1}\nu \mid \sigma^2\right)}{E\left(\pi/2 \mid \sigma^2\right)}\right] \frac{\pi}{2}$$
(3)

$$\psi = \beta a \left[u \sqrt{\frac{u^2 - 1}{u^2 - \sigma^2}} - E \left(\cos^{-1} \sqrt{\frac{1 - \sigma^2}{u^2 - \sigma^2}} \, \big| \, \sigma^2 \right) \right] \tag{4}$$

wherein $E(\cdot|\cdot)$ is the elliptic integral of the second kind, λ and β are the free space wavelength and wavenumber, respectively, $\sigma = f/a$ is the ellipsoid eccentricity, 2f is the interfocal distance, and $v = (r_1 - r_2)/2f$, $u = (r_1 + r_2)/2a$ are the elliptic coordinates, with $r_{1,2}$ being the distances from the foci to P.

The optimal parameter to describe a parallel is the angle φ , the phase function results to be constant for the symmetry, and the related bandwidth [13, 18] becomes:

$$W_{\varphi}(\eta) = \beta b \sin \vartheta_{\infty}(\eta) \tag{5}$$

where $\theta_{\infty} = \sin^{-1}v + \pi/2$ is the angle between the z axis and the asymptote to the hyperbola through P.

At any point $P(\theta, \phi)$ on the sphere, the voltage can be evaluated from the uniform samples through the 2-D OSI expansion [13, 18]:

$$V(\eta(\vartheta),\varphi) = \sum_{n=n_0-q+1}^{n_0+q} \left\{ A(\eta,\eta_n,\overline{\eta},N,N'') \cdot \sum_{m=m_0-p+1}^{m_0+p} \widetilde{V}(\eta_n,\varphi_{m,n}) A(\varphi,\varphi_{m,n},\overline{\varphi},M_n,M''_n) \right\} e^{-j\psi(\eta)}$$
(6)

where 2q, 2p are the numbers of the considered nearest reduced voltage samples $\tilde{V}(\eta_n, \varphi_{m,n}), n_0 = \text{Int}(\eta/\Delta\eta), m_0 = \text{Int}(\phi/\Delta\varphi_n)$, Int(x) denoting the greatest integer less than or equal to x, and

$$A(\tau,\tau_{\parallel},\bar{\tau},L,L'') = \Omega_L(\tau-\tau_{\parallel},\bar{\tau})D_{L''}(\tau-\tau_{\parallel})$$
⁽⁷⁾

is the interpolation function of the OSI expansion. In (7),

$$\Omega_{L}(\tau,\bar{\tau}) = \frac{T_{L} \left[2\cos^{2}(\tau/2) / \cos^{2}(\bar{\tau}/2) - 1 \right]}{T_{L} \left[2 / \cos^{2}(\bar{\tau}/2) - 1 \right]}$$
(8)

$$D_{L''}(\tau) = \frac{\sin[(2L''+1)\tau/2]}{(2L''+1)\sin(\tau/2)}$$
(9)

are the Tschebyscheff and Dirichlet sampling functions, $T_L(\tau)$ being the Tschebyscheff polynomial of degree L. The uniform sampling points are specified by:

$$\eta_n = n\Delta\eta = 2\pi n/(2N''+1);$$
 $\varphi_{m,n} = m\Delta\varphi_n = 2\pi m/(2M''_n+1)$ (10)

where,

$$N'' = \operatorname{Int}(\chi N') + 1 ; \quad N' = \operatorname{Int}(\chi' W_{\eta}) + 1 ; \quad N = N'' - N' ; \quad \overline{\eta} = q \Delta \eta \tag{11}$$

$$M_n'' = \operatorname{Int}(\chi M_n') + 1; \quad M_n' = \operatorname{Int}\left[\chi^* W_{\varphi}(\eta_n)\right] + 1; \quad M_n = M_n'' - M_n'$$
(12)

$$\bar{\varphi} = p\Delta\varphi_n ; \qquad \chi^* = 1 + (\chi' - 1) \left[\sin\vartheta_\infty(\eta_n)\right]^{-2/3}$$
(13)

with $\chi > 1$ being an oversampling factor allowing the control of the error introduced by truncating the sampling expansion [18].

It must be stressed that the 2-D OSI expansion (6) can be applied to accurately recover V_p and V_r at any point on the sphere and, in particular, at those needed by the NTFF transformation with spherical scan [5] or by its rearranged version [13, 14].

2.2. Uniform Samples Retrieval

In the following, it is supposed that, except for the sample at the pole $\vartheta = 0$, all the others are not regularly spaced over the scanning sphere and are such that it is possible to set up a biunique relation linking each of the Q uniform sampling points and the nearest non-uniform one. By applying the 2-D OSI expansion (6), it is possible to express the values of the reduced voltages at every non-uniform sampling point (ξ_k , $\phi_{j,k}$) in terms of the unknown ones at the nearest uniform sampling points (η_n , $\varphi_{m,n}$), thus obtaining the linear system:

$$\tilde{V}(\xi_{k},\phi_{j,k}) = \sum_{n=n_{0}-q+1}^{n_{0}+q} \left\{ A(\xi_{k},\eta_{n},\bar{\eta},N,N'') \cdot \sum_{m=m_{0}-p+1}^{m_{0}+p} \tilde{V}(\eta_{n},\varphi_{m,n}) A(\phi_{j,k},\varphi_{m,n},\bar{\varphi},M_{n},M_{n}'') \right\}$$
(14)

which, in matrix formalism, can be expressed as $\underline{CX} = \underline{B}$, where \underline{X} is the unknown uniform samples vector, \underline{B} the non-uniform samples one, and \underline{C} is a $Q \times Q$ matrix. The above linear system can be efficiently solved via an iterative procedure, which is derived through the following steps. First, the matrix \underline{C} , whose elements are related to the interpolation functions of the 2-D OSI expansion, is subdivided in its diagonal part \underline{C}_D and non-diagonal one \underline{A} , then, both the sides of the equation $\underline{CX} = \underline{B}$ are multiplied by \underline{CD}^{-1} , thus getting:

$$\underline{X} + \underline{\underline{C}}_{D} \underline{\underline{\Delta}} \, \underline{X} = \underline{\underline{C}}_{D} \underline{\underline{B}} \tag{15}$$

By rearranging the terms in (15), the following iterative scheme is obtained:

$$\underline{X}^{(\nu)} = \underline{\underline{C}}_{D}^{-1}\underline{\underline{B}} - \underline{\underline{C}}_{D}^{-1}\underline{\underline{\Delta}} \ \underline{X}^{(\nu-1)} = \underline{X}^{(0)} - \underline{\underline{C}}_{D}^{-1}\underline{\underline{\Delta}} \ \underline{X}^{(\nu-1)}$$
(16)

wherein $\underline{X}^{(v)}$ is the uniform samples vector at the *v*th iteration.

In order to ensure that this iterative scheme convergences, it is necessary but not sufficient, as stressed by Bucci *et al.* in [22], that the amplitude of every element belonging to the main diagonal of \underline{C} be different from zero and greater than the amplitudes of the others lying on the same column or row. It can be easily seen that the considered hypothesis of bijective relation between every uniform sampling point and the "nearest" non-uniform one guarantees the fulfilment of these conditions. Finally, it can be shown that (16) can be rewritten in the following explicit form:

146 The Open Electrical & Electronic Engineering Journal, 2017, Volume 11

Cicchetti et al.

$$\widetilde{V}^{(\nu)}(\eta_{n},\varphi_{m,n}) = \frac{1}{A(\xi_{n},\eta_{n},\overline{\eta},N,N'')A(\phi_{m,n},\varphi_{m,n},\overline{\varphi},M_{n},M''_{n})} \cdot \left\{ \widetilde{V}(\xi_{n},\phi_{m,n}) - \sum_{\substack{s=s_{0}-q+1 \ i=i_{0}-p+1 \\ (s\neq n) \land (i\neq m)}}^{s_{0}+q} A(\xi_{n},\eta_{s},\overline{\eta},N,N'') \cdot A(\phi_{m,n},\varphi_{i,s},\overline{\varphi},M_{s},M''_{s}) \widetilde{V}^{(\nu-1)}(\eta_{s},\varphi_{i,s}) \right\}$$
(17)

wherein

$$s_0 = \begin{cases} n & \text{if } \xi_n \ge \eta_n \\ n-1 & \text{if } \xi_n < \eta_n \end{cases}; \qquad i_0 = \begin{cases} m & \text{if } \phi_{m,n} \ge \varphi_{m,s} \\ m-1 & \text{if } \phi_{m,n} < \varphi_{m,s} \end{cases}$$
(18)

Such an iterative relation allows one to evaluate in an easy, fast, and accurate way, the non-redundant uniform samples based on the knowledge of the irregularly distributed ones.

3. EXPERIMENTAL TESTING

Some laboratory results are reported in this section to give the experimental assessment of the described iterative technique for the correction of known probe position errors in a non-redundant spherical NTFF transformation for long AUTs. The proofs have been performed by means of the roll-over-azimuth spherical NF scanning system available at the UNISA Antenna Characterization Lab. The pyramidal absorbers, which cover the walls of the anechoic chamber, guarantee a reflection level lesser than – 40dB. A vector network analyzer is employed to carry out the measurements of the amplitude and phase of the voltage detected by the probe, an open-ended WR-90 rectangular waveguide. A waveguide slot array antenna manufactured by PROCOM and working at 10.4GHz is used as AUT. Such an antenna has been assembled by welding two cylinders on the narrow walls of a WR-90 waveguide and making 12 round-ended slots over its broad walls (Fig. 2). The AUT is positioned in such a way that its axis coincides with the *z* axis and its broad walls are parallel to the plane y = 0 (Figs. 1 and 2). A prolate spheroid having 2a = 36.34cm and 2b = 7.5cm has been adopted as source modelling. In order to assess experimentally the validity of the proposed technique in severe operative conditions, the acquired NF samples are intentionally not regularly spaced on a sphere with radius d = 42.0cm and their distribution is such that the imposed random shifts in η and φ between the positions of the non-uniform samples and the related uniform ones result to be uniformly distributed in $(-\Delta\eta/3, \Delta\eta/3)$ and $(-\Delta\varphi_n/3, \Delta\varphi_n/3)$.



Fig. (2). Photograph of the slotted waveguide antenna.

The comparisons between the amplitudes of the probe voltage Vp directly measured (references) on the cut planes $\varphi = 0^{\circ}$ and $\varphi = 90^{\circ}$ and those recovered from the non-uniform samples by applying the iterative procedure are shown in Figs. (**3** and **4**), respectively. The errors in the corresponding reconstructed amplitudes are reported in the same figures. The corresponding results obtained without applying any correction procedure to the same non-uniform samples are reported in Figs. (**5** and **6**) for sake of comparison. As can be clearly seen, the reconstruction error is remarkably greater when the iterative procedure is not applied. For completeness, the recovery of the phase of Vp on the cut plane $\varphi = 0^{\circ}$ is also shown in Fig. (**7**). It can be clearly observed that, notwithstanding the large values of the imposed position errors, the reconstructions result to be very accurate, save for the zones characterized by very low voltage levels. It should be noticed that the above results have been obtained by using only 10 iterations, since, as shown by D'Agostino *et al.* in [28], this number of iterations guarantees that the iterative scheme converges with very low errors. Note that the uniform samples reconstruction process has taken a CPU time of about 0.67 seconds on a PC equipped with an Intel Core is @ 3.6GHz.



Fig. (3). Amplitude of Vp on the cut plane $\varphi = 0^\circ$. Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (4). Amplitude of Vp on the cut plane $\varphi = 90^{\circ}$. Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure; green line: error in the corresponding reconstructed amplitude.

Cicchetti et al.



Fig. (5). Amplitude of Vp on the cut plane $\varphi = 0^\circ$. Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples without using the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (6). Amplitude of Vp on the cut plane $\varphi = 90^{\circ}$. Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples without using the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (7). Phase of Vp on the cut plane $\varphi = 0^{\circ}$. Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure.

The FF patterns in the E- and H-plane recovered from the non-uniform NF samples are compared in Figs. (8 and 9) with the references patterns evaluated from the NF data directly measured at the points of the traditional spherical grid. The MI Technologies' software MI 3000, implementing the traditional NTFF transformation [5], has been employed in both cases to get the FF patterns. For completeness, Fig. (10) shows the reconstruction of the antenna FF pattern in the cut plane $\varphi = 90^{\circ}$. As can be recognized, also the FF reconstructions are excellent. It must be noticed that the collected irregularly spaced NF samples are 1032, which are considerably lesser than those (5100) required when using the traditional NTFF transformation with spherical scanning. At last, for the sake of comparison, the reconstructed FF patterns in the H-plane and in the cut plane $\varphi = 90^{\circ}$, obtained from the non-uniform NF samples without applying the iterative procedure, are reported in Figs. (11 and 12). These last reconstructions appear significantly worsened with respect to the ones got when applying the iterative technique, thus further assessing its effectiveness for compensating known position errors. This becomes even more evident by comparing the very low reconstruction error levels in Figs. (9 and 10) with the remarkably greater ones in Figs. (11 and 12).



Fig. (8). FF pattern in the E-plane ($\theta = 90^{\circ}$). Black line: reference; crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure.



Fig. (9). FF pattern in H-plane ($\varphi = 0^{\circ}$). Black line: reference; dashes plus crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (10). FF pattern in the cut plane $\varphi = 90^{\circ}$. Black line: reference; dashes plus crosses: obtained from the knowledge of the irregularly spaced NF samples by applying the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (11). FF pattern in H-plane ($\varphi = 0^\circ$). Black line: reference; dashes plus crosses: directly obtained from the knowledge of the irregularly spaced NF samples without using the iterative procedure; green line: error in the corresponding reconstructed amplitude.



Fig. (12). FF pattern in the cut plane $\varphi = 90^{\circ}$. Black line: reference; dashes plus crosses: directly obtained from the knowledge of the irregularly spaced NF samples without using the iterative procedure; green line: error in the corresponding reconstructed amplitude.

CONCLUSION

The experimental validation of an efficient technique for compensating known position errors in a spherical nonredundant NTFF transformation for elongated antennas has been provided in this paper. This technique, based on a iterative procedure, allows one to evaluate in an easy, fast, and accurate way the uniform samples at the points set by the non-redundant sampling representation based on the prolate ellipsoidal AUT modelling from the knowledge of the irregularly distributed ones. The very good NF and FF recoveries achieved when employing it in presence of large and pessimistic positioning errors, as compared with the worsened ones obtained when this procedure is not applied, assess experimentally its effectiveness.

CONSENT FOR PUBLICATION

Not applicable.

CONFLICT OF INTEREST

The authors declare no conflict of interest, financial or otherwise.

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152 The Open Electrical & Electronic Engineering Journal, 2017, Volume 11

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